Page 1 of 3

Docket No. 18810-82152

Declaration For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

GENETIC MARKER TEST FOR LUPUS

(check one)			
☐ is attached heret	to.		
was filed on Jul	ly 18, 2001	as United States Application No	or PCT International
Application Num	ber <u>09/909,317</u>		
and was amende	ed on		
		(if applicable)	
		understand the contents of the above in amendment referred to above.	dentified specification,
•	•	e United States Patent and Trademark bility as defined in Title 37, Code of	
Section 1.56.		<i></i>	
Section 1.56. I hereby claim forei Section 365(b) of a any PCT Internation States, listed below	ign priority benefits ny foreign applicatio nal application whic and have also ident certificate or PCT In	under Title 35, United States Code, n(s) for patent or inventor's certificate the designated at least one country of ified below, by checking the box, any ternational application having a filing of	e, or Section 365(a) of other than the United foreign application for
Section 1.56. I hereby claim foreith Section 365(b) of an any PCT Internation States, listed below patent or inventor's	ign priority benefits ny foreign applicatio nal application whic and have also ident certificate or PCT In priority is claimed.	under Title 35, United States Code, n(s) for patent or inventor's certificate the designated at least one country of ified below, by checking the box, any	e, or Section 365(a) of other than the United foreign application for
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Section 1.56. I hereby claim foreith Section 365(b) of an any PCT Internation States, listed below patent or inventor's application on which Prior Foreign Application (Number)	ign priority benefits ny foreign applicatio nal application whice and have also ident certificate or PCT In priority is claimed. ation(s) (Country)	under Title 35, United States Code, n(s) for patent or inventor's certificate th designated at least one country of ified below, by checking the box, any ternational application having a filing of (Day/Month/Year Filed)	e, or Section 365(a) of other than the United foreign application for date before that of the
Section 1.56. I hereby claim foreith Section 365(b) of an any PCT Internation States, listed below patent or inventor's application on which Prior Foreign Application	gn priority benefits ny foreign applicatio nal application whic and have also ident certificate or PCT In priority is claimed. ation(s)	under Title 35, United States Code, n(s) for patent or inventor's certificate the designated at least one country clified below, by checking the box, any ternational application having a filing c	e, or Section 365(a) of other than the United foreign application for date before that of the Priority Not Claimed

I hereby claim the benefit under application(s) listed below:	35 U.S.C. Section	119(e) of	any	United	States	provisional
application(s) listed below.			,			
(Application Serial No.)	(Filing Date)			. '		
						· .
(Application Serial No.)	(Filing Date)					
(Application Serial No.)	(Filing Date)					

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, CFR Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

09/280,181	03/29/99	USPN 6280941 - 8/28/01
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Betty P. Tsao	
Sole or first inventor's signature	Date
Residence 8036 Stoner Avenue, Los Angeles, CA 90066	
Citizenship U.S.A.	
Post Office Address Same as above	
Full name of second inventor, if any Rita M. Cantor	
Rita M. Cantor Second inventor's signature Cantor	1/13/04
Residence 5239 Kingspine Road, Rolling Hills Estates, CA 90274	
Citizenship U.S.A.	
Post Office Address Same as above	
Full name of third inventor, if any Jerome I. Rotter	Date
Jerome I. Rotter Third inventor's signature	Date
Jerome I. Rotter	Date
Jerome I. Rotter Third inventor's signature Residence 2617 Greenfield Avenue, Los Angeles, CA 90064 Citizenship U.S.A.	Date
Jerome I. Rotter Third inventor's signature Residence 2617 Greenfield Avenue, Los Angeles, CA 90064 Citizenship	Date
Jerome I. Rotter Third inventor's signature Residence 2617 Greenfield Avenue, Los Angeles, CA 90064 Citizenship U.S.A. Post Office Address	Date
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Jerome I. Rotter Third inventor's signature Residence 2617 Greenfield Avenue, Los Angeles, CA 90064 Citizenship U.S.A. Post Office Address Same as above Full name of fourth inventor, if any	
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Jerome I. Rotter Third inventor's signature Residence 2617 Greenfield Avenue, Los Angeles, CA 90064 Citizenship U.S.A. Post Office Address Same as above Full name of fourth inventor, if any Fourth inventor's signature Residence Citizenship	

				nave assigned, grante nvey, or license any rig		icensed or am under an n is listed below:
	•		organization e or organization	xists. I is listed below.		
FULL NAME	Betty P. Tsa	10				
ADDRESS	3036 Stoner	Avenue, Lo	s Angeles, Calif	ornia 90066		
	X	Individual		Small Business Concern		Nonprofit Organization
FULL NAME ADDRESS	The Regents	s of the Univ	ersity of CA, 11	11 Franklin Street -12	th Floor, Oakland,	CA 94607
		Individual		Small Business Concern		Nonprofit Organization
FULL NAME ADDRESS			- 1,-14			
		Individual		Small Business Concern		Nonprofit Organization
FULL NAME						
ADDRESS						N
		Individual		Small Business Concern	Ų.	Nonprofit Organization
the invention I acknowled entitlement maintenance I hereby dec information willful false s Title 18 of	n averring to ge the duty to small enderedue after that all and belief are the United	their status to file, in the tity status per the date statements to believed and the like States Coo	is as small entition of corior to paying, on which status is made herein to be true; and so made are pute, and that so	es. or patent, notification , or at the time of pass as a small entity is not of my own knowledge further that these stat unishable by fine or im	of any change in aying, the earlies of longer appropriate are true and that tements were made apprisonment, or bottoments may jeopa	status resulting in loss of the issue fee or any te. (37 CFR 1.27(g)(2)) It all statements made on e with the knowledge that oth, under Section 1001 of ardize the validity of the ected.
NAME OF PE	RSON SIGN	IING:	Peter E. Brave	eman, Esq.		
TITLE IN OR	GANIZATIOI	N :	Senior Vice Pr	resident for Legal Affai	irs and General Co	unsel
ADDRESS O	F PERSON :	SIGNING:	Cedars-Sinai I	Medical Center		
			8700 Beverly	Boulevard		
			Los Angeles, C	CA 90048		
SIGNATURE:	f g	55.	<u></u>		DATE: 14	7/03



I hereby claim the benefit application(s) listed below:	under	35	U.S.C.	Section	119(e)	of	any	United	States	provisiona	ì l
(Application Serial No.)	_ 		(Fili	ng Date)							
(Application Serial No.)			(Fili	ng Date)							
(Application Serial No.)	<u> </u>		(Fili	ng Date)	·						

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Citizenship U.S.A.	
Post Office Address	-
Same as above	
Full name of second inventor, if any Rita M. Cantor	· · · · · · · · · · · · · · · · · · ·
Second inventor's signature	Date
Residence 5239 Kingspine Road, Rolling Hills Estates, CA 90274	
Citizenship U.S.A.	
Post Office Address Same as above	
	17/9/03
Full name of third inventor, if any Jerome I. Rotter Third inventor's signature	1 / 19/03 Date
Full name of third inventor, if any Jerome I. Rotter Third inventor's signature Residence	17/9/13 Date
Full name of third inventor, if any Jerome I. Rotter Third inventor's signature Residence 2617 Greenfield Avenue, Los Angeles, CA 90064 Citizenship	17/9/d3 Date
Full name of third inventor, if any Jerome I. Rotter Third inventor's signature Residence 2617 Greenfield Avenue, Los Angeles, CA 90064 Citizenship U.S.A.	17/9/dg Date
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Full name of third inventor, if any Jerome I. Rotter Third inventor's signature Residence 2617 Greenfield Avenue, Los Angeles, CA 90064 Ditizenship U.S.A. Post Office Address Game as above Full name of fourth inventor, if any Fourth inventor's signature	

Our Docket No. 18810-82152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Betty P. Tsao, Rita M. Cantor, and Jerome I. Rotter

Serial No.:

09/909,317 July 18, 2001

Filed on:

GENETIC MARKER TEST FOR LUPUS

Art Un

CERTIFICATE UNDER 37 C.F.R. 3.73(b) ESTABLISHING RIGHT OF ASSIGNEE TO PROSECUTE

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir or Madam:

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO MAIL STOP MISSING PARTS, THE COMMISSIONER FOR PATENTS, P. O. BOX 1450, ALEXANDRIA, VA 22313-1450

ON JOUGLY 14, 2004

BY

AND Weiss Date of Signature

CEDARS-SINAI NIEDICAL CENTE

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of chain of title from the inventors, of the patent application identified above, to the current assignee as shown below:

From: Rita M. Cantor, and Jerome I. Rotter

To: CEDARS-SINAI MEDICAL CENTER

The document is being filed herewith with the Patent and Trademark Office. A copy thereof is attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date:

Name: Peter E. Braveman, Esq.

Title: Senior Vice President for Legal Affairs and

General Counsel

		·
CERTIFICATE OF MAILING BY FIRST CLA	ASS MAIL (37 CFR 1.8)	Docket No.
Applicant(s): Tsao et al.		18810-82152
Social No. 12 Siling Date	Francisco	Croup Art Unit
Serial No.	Examiner	Group Art Unit
09/909,3170 uly 18, 2001		Confirm. # 5671
Invention:		
Genetic Marker T	Test for Lupus	•
·		
		•
I hereby certify that this <u>Verified Statement Claimin</u>	g Small Entity Status (Identify type of correspondence)	
is being deposited with the United States Postal S		envelope addressed to:
		•
Commissioner for Patents, P.O. Box 1450, Alexandr	ia, VA 22313-1450 on J <u>anuary 14</u>	
		(Date)
	Ann Weiss	
	(Typed or Printed Name of Person Main	ling Correspondence)
·		·
	(Signature of Person Mailing Co	rrespondence)
		* /
Note: Each paper must h	nave its own certificate of mailing.	
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VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS - NONPROFIT ORGANIZATION

Docket No. 18810-82152

S	MALL ENTITY ST	TATUS - NONPROFI	T ORGANIZATION	18810-82152
Seri	al No.	Filing Date	Patent No.	Issue Date
09/9	09,317	July 18, 2001		
Applicant/ Patentee:	Betty P. Tsao, Rita M.	Cantor, and Jerome I. Ro	tter	
Invention:			<u> </u>	
		GENETIC MARKER	TEST FOR LUPUS	
I hereby de	clare that I am an offic	ial empowered to act on	behalf of the nonprofit organiza	tion identified below:
NAME OF	ORGANIZATION:	Cedars-Sinai Medical C	enter	
ADDRESS	OF ORGANIZATION:	8700 Beverly Boulevard		
,		Los Angeles, CA 90048		
TYPE OF N	ONPROFIT ORGANI	ZATION:		
	University or other In	stitute of Higher Educati	on	
×	Tax Exempt under In	ternal Revenue Service	Code (26 U.S.C. 501(a) and 50	1(c)(3))
	Nonprofit Scientific o Name of State:	r Educational under Stat	ute of State of The United State Citation of Statute:	s of America
	· · · · · · · · · · · · · · · · · · ·	x Exempt under Internal ted in The United States	Revenue Service Code (26 U.S of America	.C. 501(a) and
	•	nprofit Scientific or Educ d in The United States o	cational under Statute of State o f America Citation of Statute:	f The United States of
CFR 1.27(a	clare that the above-id a)(3) for purposes of pa		zation qualifies as a nonprofit or United States Patent and Trad	
	the specification to be	e filed herewith.		
X	the application identif	fied above.		
	the patent identified a	above.		
•	clare that rights under to the above identified		en conveyed to and remain with	the nonprofit organization

If the rights held by the above-identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify a small entity person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).



Docket No. 18810-82152

Déclaration For Patent Application

English Language Declaration

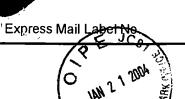
As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

GENETIC MARKER TEST FOR LUPUS

the specification of which		
(check one)		
☐ is attached hereto.		
was filed on July 18, 2001	as United States Applica	tion No. or PCT International
Application Number 09/909,317		
and was amended on		
	(if applicable)	
I hereby state that I have reviewed and ur including the claims, as amended by any	· ·	
I acknowledge the duty to disclose to the known to me to be material to patentab Section 1.56.		
I hereby claim foreign priority benefits a Section 365(b) of any foreign application any PCT International application which States, listed below and have also identificate or inventor's certificate or PCT International application on which priority is claimed.	(s) for patent or inventor's cedesignated at least one coied below, by checking the bo	rtificate, or Section 365(a) of buntry other than the United ox, any foreign application for
Prior Foreign Application(s)		Priority Not Claimed
(Number) (Country)	(Day/Month/Yea	
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(Number) (Country)	(Day/Month/Yea	ar Filed)
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Docket No. 18810-82152

Teclaration For Patent Application

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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

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GENETIC MARKER TEST FOR LUPUS

the specification of wh	nich		
(check one)			
is attached hereto. was filed on July Application Number and was amended	18, 2001 er09/909,317	as United States Application No	or PCT International
I horoby state that I ha	ave reviewed and un	, ,,	dontified specification
•		nderstand the contents of the above i amendment referred to above.	denumed specification,
known to me to be n Section 1.56. I hereby claim foreign Section 365(b) of any any PCT International States, listed below as	naterial to patentab n priority benefits u foreign application al application which and have also identifertificate or PCT Inte	United States Patent and Trademark ility as defined in Title 37, Code of under Title 35, United States Code, (s) for patent or inventor's certificated designated at least one country of ied below, by checking the box, any ernational application having a filing of	Section 119(a)-(d) or or or Section 365(a) of other than the United foreign application for
Prior Foreign Applicati	on(s)		Priority Not Claimed
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(Number)	(Country)	(Day/Month/Year Filed)	
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(Number)	(Country)	(Day/Month/Year Filed)	
TO-SB-01 (9-95) (Modified)		P02A/REV02 Patent and Trademark 0	Office-U.S. DEPARTMENT OF COMM

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(Application Serial No.)	(Filing Date)				
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Full name of sole or first inventor Betty P. Tsao	
Sole of first inventor's signature	Date
Utu 7 Jas	12/18/03
Residence	/-//
3036 Stoner Avenue, Los Angeles, CA 90066	
Citizenship	
U.S.A.	
Post Office Address Same as above	
Same as above	
Full name of second inventor, if any Rita M. Cantor	
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Post Office Address	
Same as above	
Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	· · · · · · · · · · · · · · · · _ · · _ · · _ · · _ · · _ · · _ · · _ · · _ · · _ · · _ · · _ · · _ · · · _ ·
Post Office Address	
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